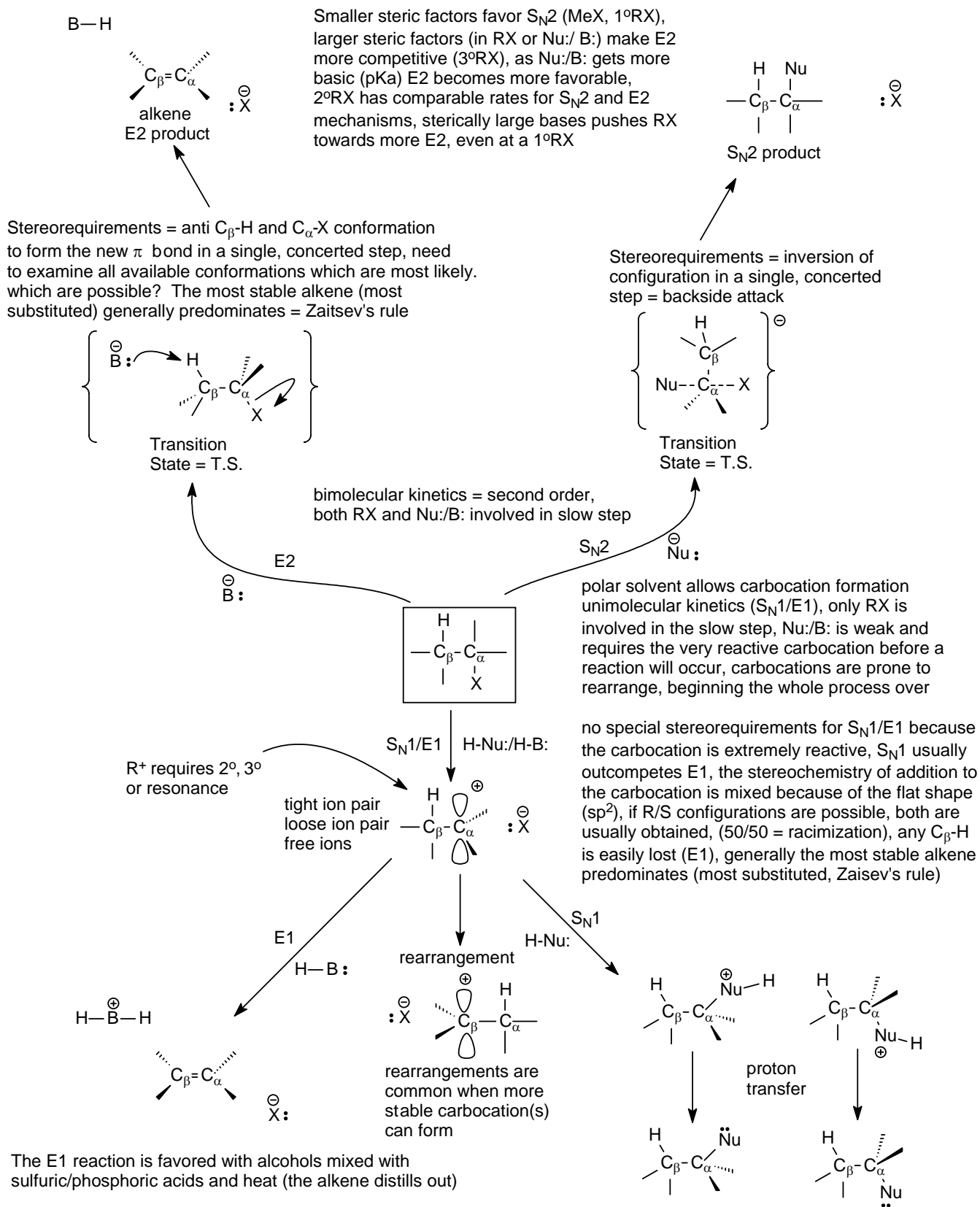


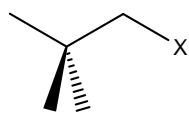
**Four Classes of Reactions (Substitution vs. Elimination and Bimolecular vs. Unimolecular)**

There are many details to keep track of. Need to organize these details to develop a "best guess". Generally some of each competing reaction occurs (e.g.  $S_N2$  vs  $E2$  or  $S_N1$  vs  $E1$ ). Our presentation is greatly simplified, but necessary as a first look at organic reactions.

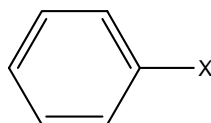
**General guidelines for S<sub>N</sub>/E reactivity of RX compounds, usually true (but not always)**

1. Nucleophiles (Nu:) and bases (B:) both have a donatable pair of electrons (lone pairs and pi bonds). When donated to a proton the electron pair donor is called a base and when donated to anything else (usually carbon for us) the donor is called a nucleophile.
2. In our course we will divide electron pair donors into two groups. Strong donors will be symbolized with a negative charge (S<sub>N</sub>2 and E2 reactions) and weak donors will be symbolized with neutral charge (S<sub>N</sub>1 and E1 reactions). Mostly this will lead us to correct choices, even though our assumptions are somewhat simplistic. Exceptions are neutral nitrogen (ammonia and amines) and neutral sulfur (thiols and sulfides) are considered good electron pair donors.
3. Leaving groups become very stable anions or neutral molecules (X = Cl<sup>-</sup>, Br<sup>-</sup>, I<sup>-</sup>, TsO<sup>-</sup>, H<sub>2</sub>O, ROH, ROR, etc.) when they take their electron pair away from R.
4. Both S<sub>N</sub>2 and E2 reactions are considered to be concerted, one-step reactions. They both require very specific orientations of the reactants for a successful reaction. S<sub>N</sub>2 reactions always occur by backside attack of the nucleophile and E2 reactions usually (always for us) occur with an anti conformation of the C<sub>β</sub>-H/C<sub>α</sub>-X bonds.
5. Steric hindrance in either the RX electrophile or the electron pair donor slows S<sub>N</sub>2 reactions by interfering with the backside approach. Complete substitution at the C<sub>α</sub>-X carbon (tertiary RX) or complete substitution at any C<sub>β</sub> carbon (4° position) prevents S<sub>N</sub>2 reaction (no reaction). By default E2 reactions will become the only possible choice if an anti C<sub>β</sub>-H/C<sub>α</sub>-X conformation can be attained.
6. All S<sub>N</sub>2 reactions occur from the backside and produce inversion of configuration (R → S or S → R).
7. S<sub>N</sub>2 reactions are generally run in polar, aprotic solvents like DMSO (dimethylsulfoxide) or DMF (dimethylformamide), which only weakly solvate the nucleophile, but strongly solvate the counter cation.
8. All E2 reactions require an anti C<sub>β</sub>-H and C<sub>α</sub>-X conformation and the major alkene is usually the most substituted alkene. Alkoxide/alcohol mixtures are often used for E2 reactions.
9. CH<sub>3</sub>-X compounds only undergo S<sub>N</sub>2 reactions with strong base/nucleophiles and “No reaction” with weak nucleophiles.
10. Tertiary RX compounds only undergo E2 reactions with strong base/nucleophiles (no S<sub>N</sub>2 reaction).
11. The major product of primary RX with a strong base/nucleophile is usually S<sub>N</sub>2 with minor E2 product. The only exceptions are when extreme steric hindrance is present in the base or the RX compound. If potassium t-butoxide is the base/nucleophile, then E2 is the major product even with 1° RX compounds.
12. Secondary RX compounds have many choices. The major product of secondary RX with a strong base/nucleophile depends on the strength of the base. When two electron pair donors are similar (alkoxides vs carboxylates or cyanide vs terminal acetylides), the stronger base (higher pK<sub>a</sub> of conjugated acid) forms more E2 product and the weaker base (lower pK<sub>a</sub>) forms more S<sub>N</sub>2 product. Examples from our course:
  - a. HO<sup>-</sup> [pK<sub>a</sub>(H<sub>2</sub>O)=16] and RO<sup>-</sup> [pK<sub>a</sub>(ROH)=16] are more basic (generally E2 > S<sub>N</sub>2 at 2° RX)
  - b. RCO<sub>2</sub><sup>-</sup> [pK<sub>a</sub>(RCO<sub>2</sub>H)=5] is less basic (generally S<sub>N</sub>2 > E2 at 2° RX).
  - c. RCC<sup>-</sup> [pK<sub>a</sub>(RCC-H)=25] is more basic (generally E2 > S<sub>N</sub>2 at 2° RX)
  - d. NC<sup>-</sup> [pK<sub>a</sub>(NC-H)=9] is less basic (generally S<sub>N</sub>2 > E2 at 2° RX).

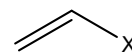
- $S_N1$  and  $E1$  reactions always begin with the same first step: ionization of the  $R-X$  bond to form a carbocation,  $R^+$ , and a stable leaving group (for us =  $Cl^-$ ,  $Br^-$ ,  $I^-$ ,  $TsO^-$ , as anions or  $H_2O$ ,  $ROH$ ,  $RCO_2H$  as neutral molecules).
- Methyl and primary  $RX$  compounds do not react under  $S_N1/E1$  conditions (weak base/nucleophile) because they cannot form carbocations under usual reaction conditions (in our course).
- $S_N1$  usually out competes  $E1$  reaction products and only occurs at  $2^\circ$ ,  $3^\circ$ , allylic and benzylic  $RX$  compounds. Weak nucleophiles ( $H_2O$ ,  $ROH$ ,  $RCO_2H$  in our course) attack from both faces of the carbocation intermediate producing racemization of configuration, where observable, (in  $S_N1$  reactions).  $E1$  products can occur from loss of any  $C_\beta-H$  rotated up or down with the empty  $2p$  orbital.
- $S_N1/E1$  reactions require a very polar, ionizing solvent that allows formation of ions. Usually this is a protic, hydrogen bonding solvent that solvates both cations and anions. Often the solvent is also the nucleophile/base.
- Carbocation rearrangements are competitive with  $S_N1$  and  $E1$  reactions when a similar or more stable carbocation can form ( $3^\circ > 2^\circ \gg 1^\circ \gg CH_3$ ). We do not propose primary or methyl carbocations in our course.
- $E1$  products are the major result when an alcohol ( $ROH$ ) is mixed with sulfuric acid ( $H_2SO_4/\Delta$ ) and heated to high temperatures. The  $E1$  alkene product is more volatile and distills from the reaction mixture, shifting the equilibrium towards  $E1$ . Any  $C_\beta-H$  can be lost from either anti or syn conformations relative to the original  $C_\alpha-X$  group and the major alkene is usually the most substituted alkene.
- Neopentyl, vinyl and phenyl  $RX$  compounds generally do not react by any of these mechanisms. Vinylic and phenyl  $RX$  will undergo  $E2$  reactions with very strong bases to form alkynes and benzyne, but do not react by  $S_N2$ ,  $S_N1$  or  $E1$  reaction. We will not consider them reactive compounds in this topic.



$1^\circ$  neopentyl  $RX$



phenyl  $RX$



vinyl  $RX$

These compounds are not usually reactive under  $S_N/E$  conditions.  
In our course, we will consider them unreactive.

**C<sub>α</sub> and C<sub>β</sub> substitution patterns**

		C <sub>α</sub> substitution			
typical RX patterns					
typical RX electron pair donors	methyl unsubstituted C <sub>α</sub>	primary 1 substituted C <sub>α</sub>	secondary 2 substituted C <sub>α</sub>	tertiary 3 substituted C <sub>α</sub>	
	$S_N2$ rel. rate $\approx 30$	$S_N2$ rel. rate $\approx 1$	$S_N2$ rel. rate $\approx 0.025$ (1/40)	$S_N2$ rel. rate $\approx 0$	
	$S_N2$ rel. rate $\approx 30$	$S_N2$ rel. rate $\approx 1$	$S_N2$ rel. rate $\approx 0.025$ (1/40)	$S_N2$ rel. rate $\approx 0$	
	$S_N1$ rel. rate $\approx 0$	$S_N1$ rel. rate $\approx 0$	$S_N1$ rel. rate $\approx 1$	$S_N1$ rel. rate $\approx 1,000,000$	
	$S_N1$ rel. rate $\approx 0$	$S_N1$ rel. rate $\approx 0$	$S_N1$ rel. rate $\approx 1$	$S_N1$ rel. rate $\approx 1,000,000$	
		C <sub>β</sub> substitution (all 1° RX here)			
	0 substituted C <sub>β</sub>	1 substituted C <sub>β</sub>	2 substituted C <sub>β</sub>	3 substituted C <sub>β</sub>	
	$S_N2$ rel. rate $\approx 1$	$S_N2$ rel. rate $\approx 0.4$	$S_N2$ rel. rate $\approx 0.03$	$S_N2$ rel. rate $\approx 0$	
	$S_N2$ rel. rate $\approx 1$	$S_N2$ rel. rate $\approx 0.4$	$S_N2$ rel. rate $\approx 0.03$	$S_N2$ rel. rate $\approx 0$	

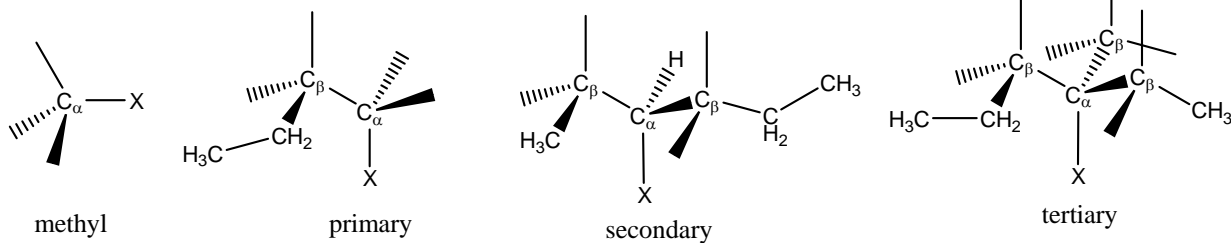
methyl = (1R)-bromodeuteriotritiomethane

primary = (1R,2S)-1-bromo-1,2-dideuteriobutane

secondary = (2R,3S,4R)-3-bromo-4-deuterio-4-methylhexane

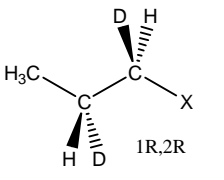
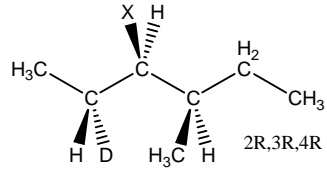
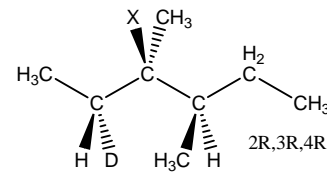
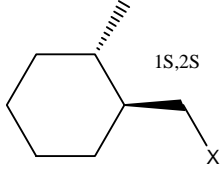
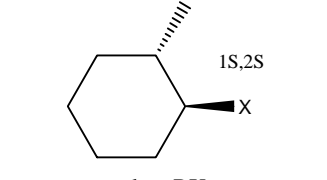
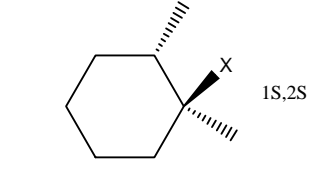
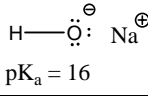
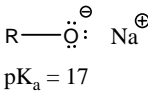
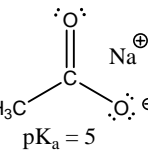
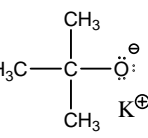
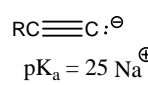
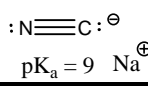
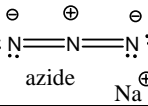
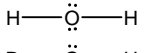
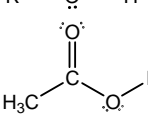
tertiary = (2S,3S)-3-bromo-2-deuterio-3-methylhexane

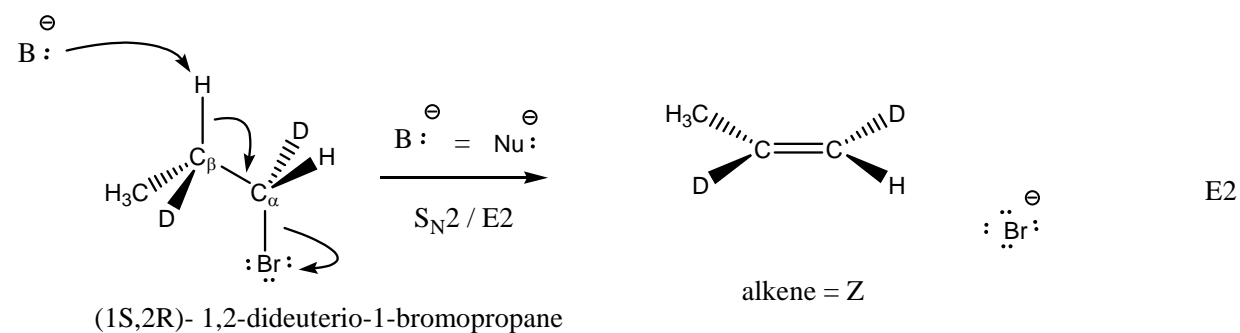
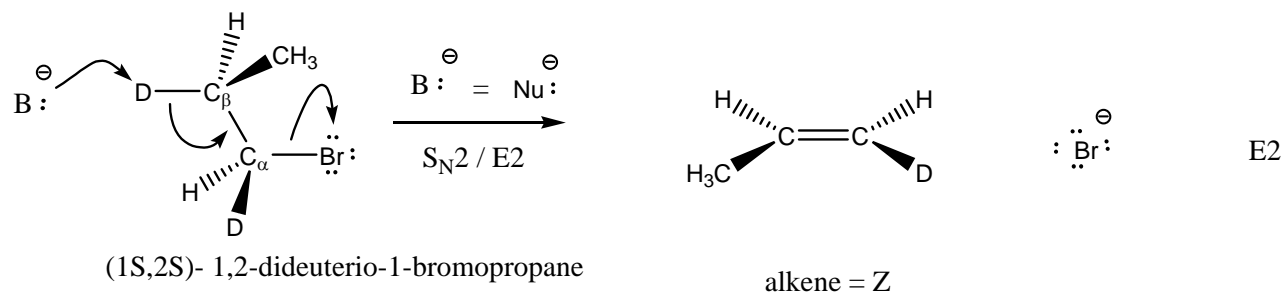
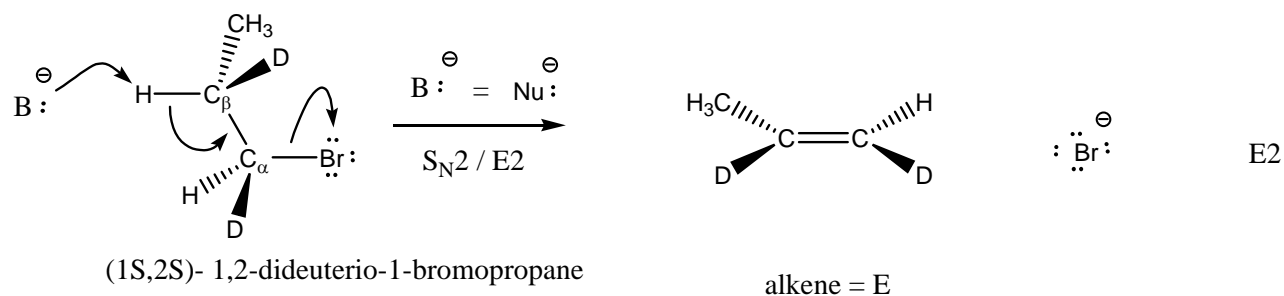
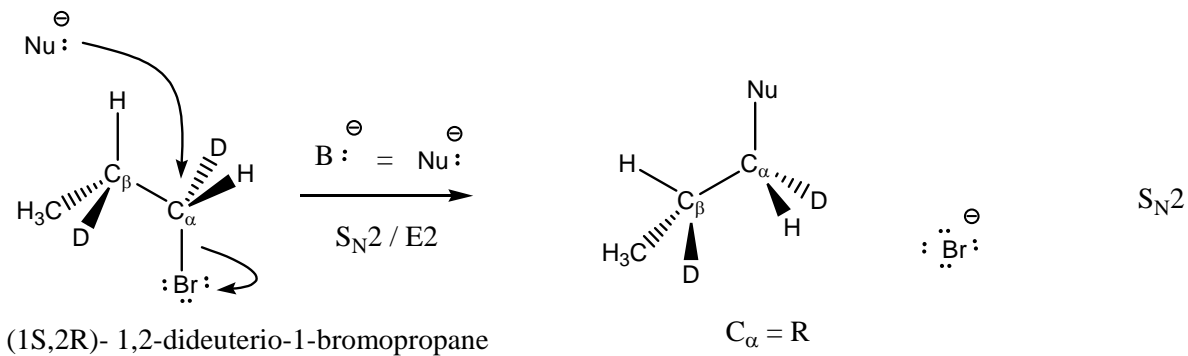
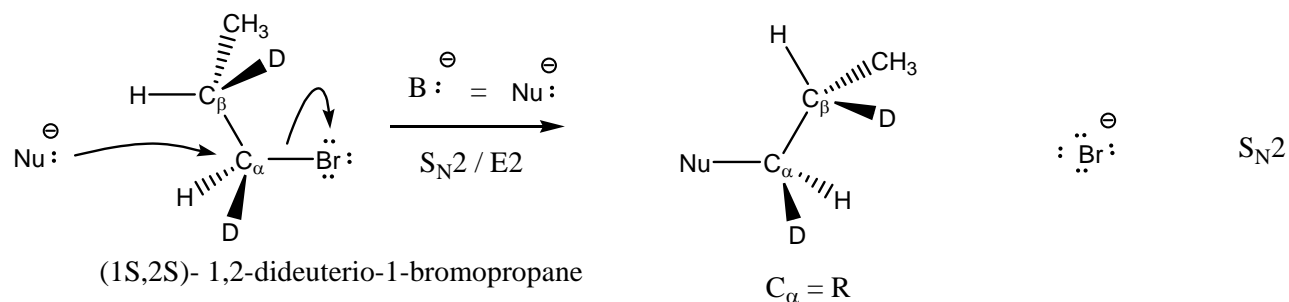
Fill in the necessary details from the names and predict the expected products using the above electron pair donors.

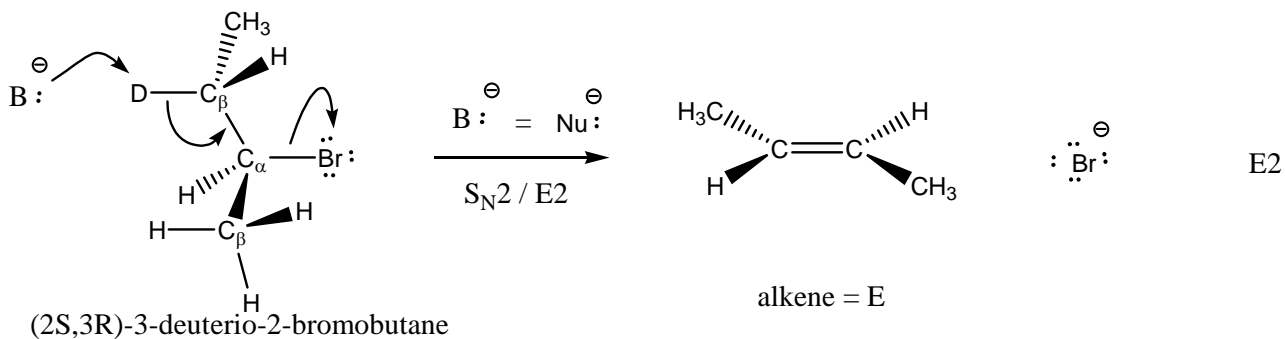
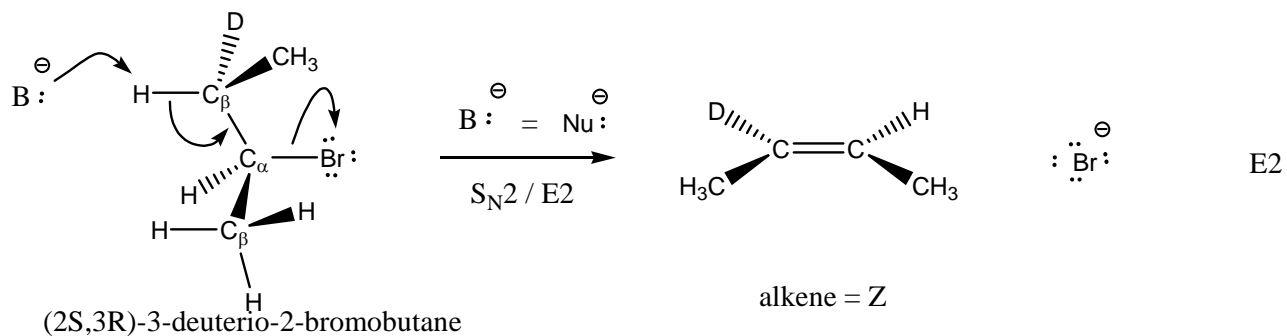
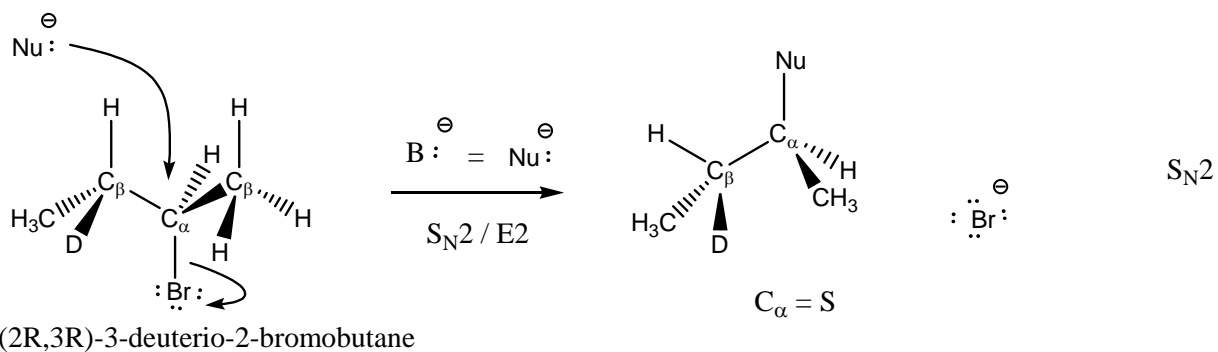
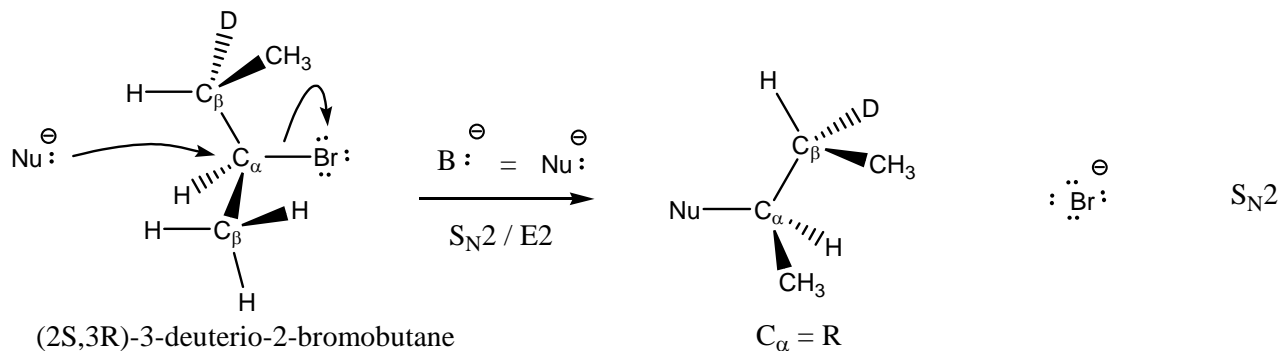


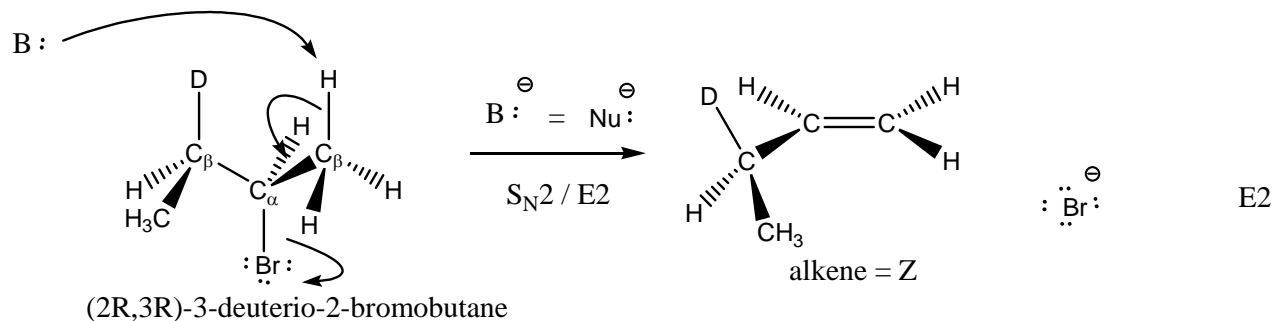
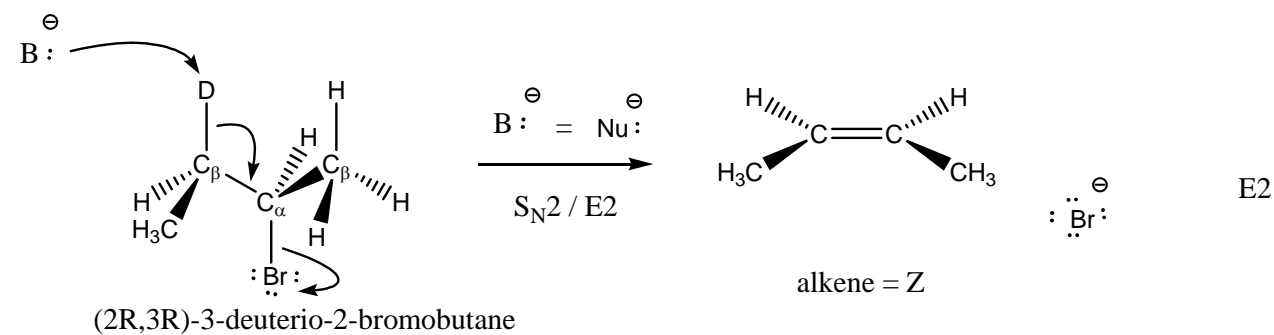
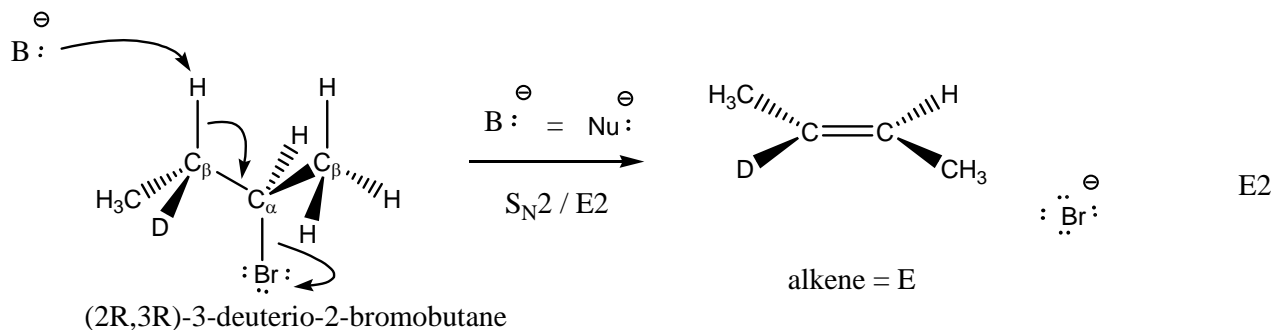
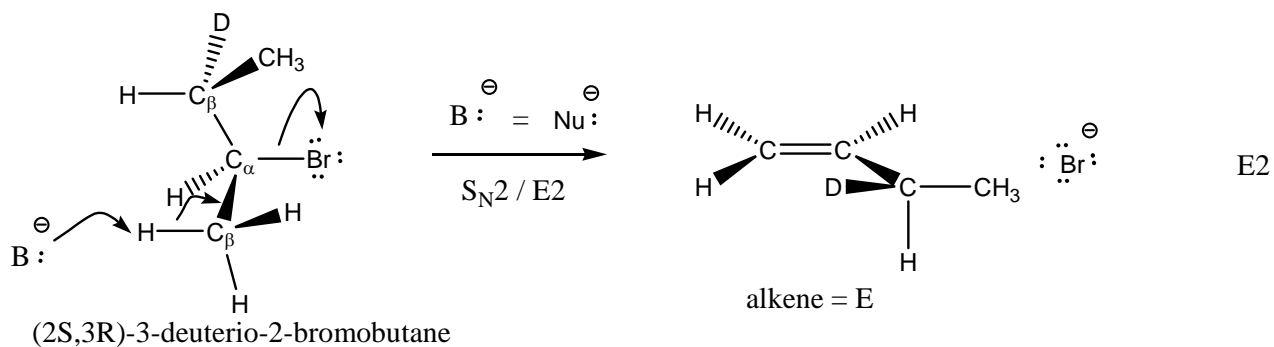
**S<sub>N</sub> and E Reactions**

Limited RX compounds for our course (open chains and cyclohexanes).

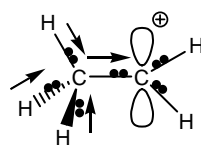
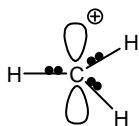
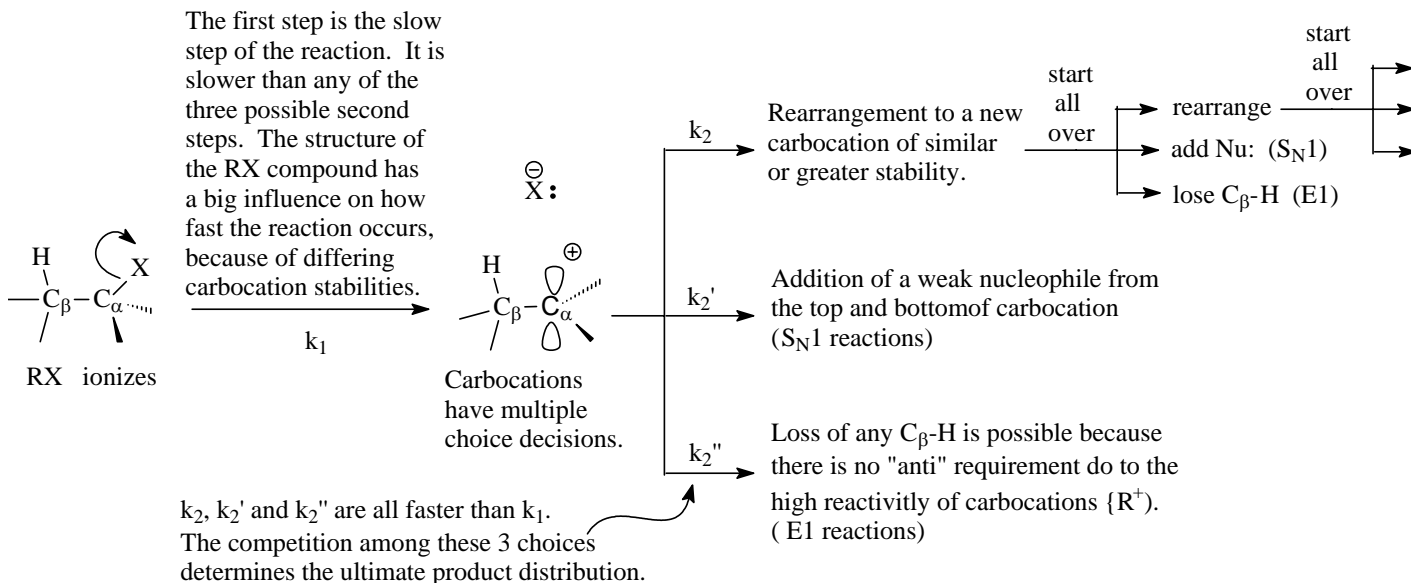
	X = Cl, Br, I	 1R,2R	 2R,3R,4R	 2R,3R,4R
	H <sub>3</sub> C—X	 1S,2S	 1S,2S	 1S,2S
	methyl RX	primary RX	secondary RX	tertiary RX
<b>Strong base/nucleophiles</b>				
 pK <sub>a</sub> = 16	only S <sub>N</sub> 2 always inversion of configuration	S <sub>N</sub> 2 > E2	E2 > S <sub>N</sub> 2	only E2 requires anti C <sub>β</sub> H/C <sub>α</sub> X conformation
 pK <sub>a</sub> = 17	only S <sub>N</sub> 2	S <sub>N</sub> 2 > E2	E2 > S <sub>N</sub> 2	only E2
 pK <sub>a</sub> = 5	only S <sub>N</sub> 2	S <sub>N</sub> 2 > E2	S <sub>N</sub> 2 > E2	only E2
 pK <sub>a</sub> = 19 sterically hindered and very basic	only S <sub>N</sub> 2	E2 > S <sub>N</sub> 2	only E2	only E2
 pK <sub>a</sub> = 25	only S <sub>N</sub> 2	S <sub>N</sub> 2 > E2	E2 > S <sub>N</sub> 2	only E2
 pK <sub>a</sub> = 9	only S <sub>N</sub> 2	S <sub>N</sub> 2 > E2	S <sub>N</sub> 2 > E2	only E2
 azide	only S <sub>N</sub> 2	S <sub>N</sub> 2 > E2	S <sub>N</sub> 2 > E2	only E2
<b>Weak base/nucleophiles</b>				
 H—O—H	No reaction (R <sup>+</sup> cannot form)	No reaction (R <sup>+</sup> cannot form)	S <sub>N</sub> 1 > E1 <b>Major reactions of R<sup>+</sup></b> 1. add nucleophile from either face (S <sub>N</sub> 1) 2. lose any C <sub>β</sub> -H (E1) from either side 3. rearrange to new R <sup>+</sup> and start over	S <sub>N</sub> 1 > E1 <b>Major reactions of R<sup>+</sup></b> 1. add nucleophile from either face (S <sub>N</sub> 1) 2. lose any C <sub>β</sub> -H (E1) from either side 3. rearrange to new R <sup>+</sup> and start over
 R—C(=O)—OH	No reaction (R <sup>+</sup> cannot form)	No reaction (R <sup>+</sup> cannot form)		

**Example 1 - two possible perspectives (deuterium is an isotope of hydrogen that can be distinguished)**

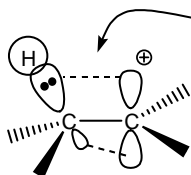
**Example 2 - two possible perspectives (deuterium is an isotope of hydrogen that can be distinguished)**





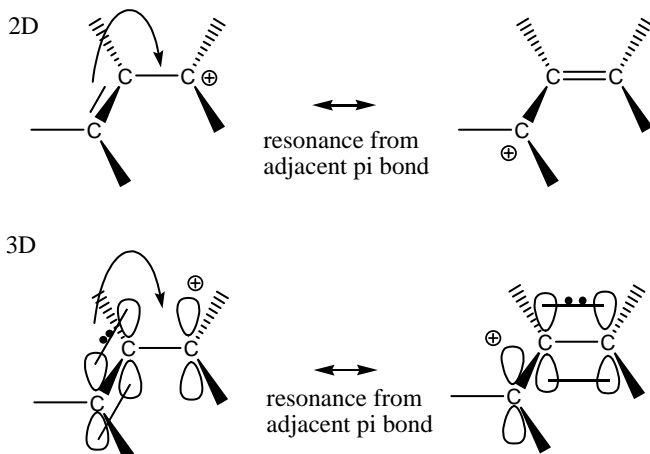
S<sub>N</sub>1, E1 and Carbocations

**Inductive Effects** are either electron withdrawing from a center of interest, due to the intrinsic tendency of a substituent to pull electrons to itself based on its greater relative electronegativity, or they are electron donating towards a center of interest due to the intrinsic tendency of a substituent to give up electrons based on its lower relative electronegativity. Electron donation can stabilize (a positive center) or destabilize (a negative center). Likewise, electron withdrawal can stabilize (a negative center) or destabilize (a positive center).

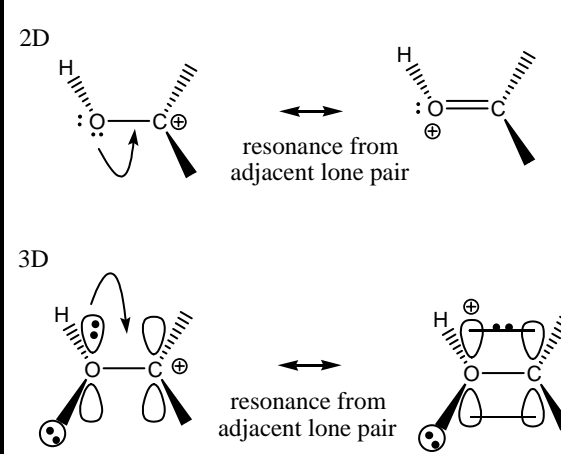


**Hyperconjugation** = Weak pi-like interaction from adjacent parallel sigma bond helps to stabilize the carbocation carbon atom. It can also be described with HOMO/LUMO interactions of molecular orbital theory.

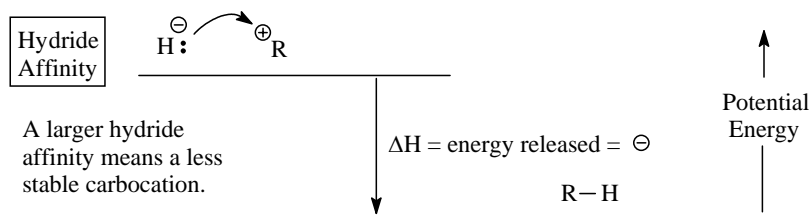
## Resonance with adjacent pi bonds



## Resonance with adjacent lone pairs



Resonance effects help stabilize carbocations and makes them easier to form.

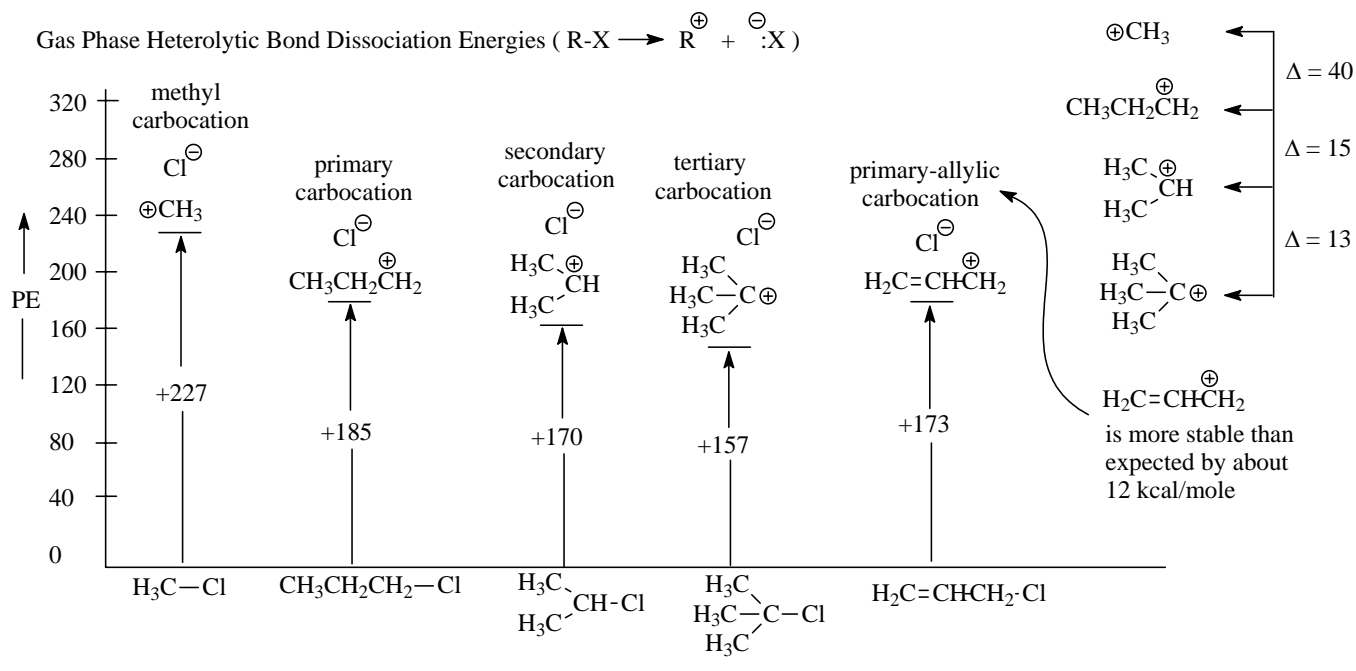


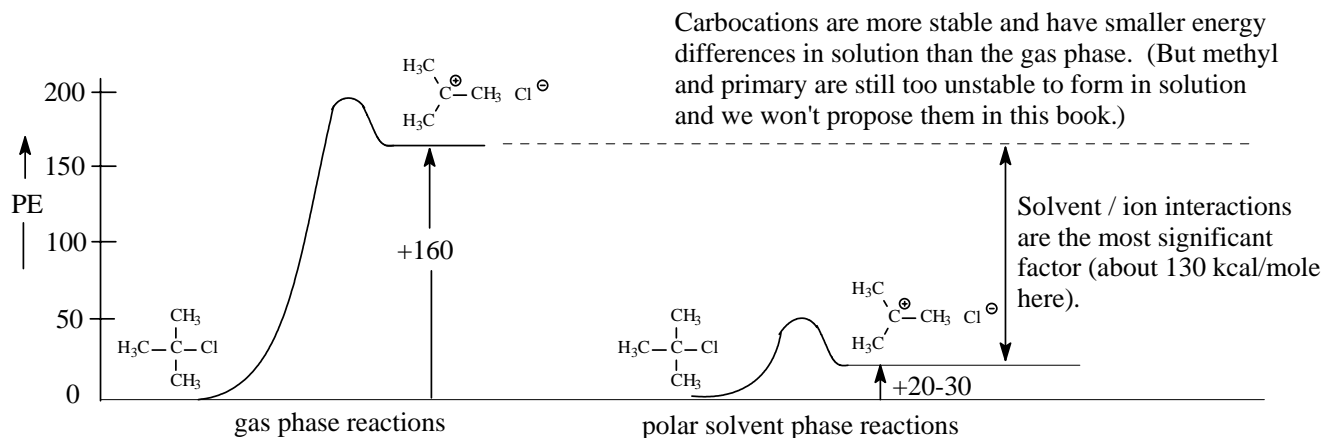
Inductive effects			Resonance effects		
methyl & primary	secondary	tertiary	adjacent pi bond	adjacent lone pair	other / misc.
$\text{CH}_3^{\oplus}$ -315 $\text{CH}_3\text{CH}_2^{\oplus}$ -277 $\text{CH}_3\text{CH}_2\text{CH}_2^{\oplus}$ -270 $\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2^{\oplus}$ -267 $\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2^{\oplus}$ -265	$\text{H}_3\text{C}-\text{CH}^{\oplus}-\text{H}$ -249 $\text{H}_3\text{C}-\text{CH}^{\oplus}-\text{CH}_3$ -246	$\text{H}_3\text{C}-\text{C}^{\oplus}(\text{CH}_3)_2$ -232 $\text{H}_3\text{C}-\text{C}^{\oplus}(\text{CH}_2\text{CH}_3)_2$ -227 $\text{C}_6\text{H}_{11}^{\oplus}$ -227	"C" resonance $\text{H}_2\text{C}=\text{CH}-\text{CH}_2^{\oplus}$ -256 $\text{C}_6\text{H}_5-\text{CH}_2^{\oplus}$ -239 $\text{C}_6\text{H}_5-\text{CH}^{\oplus}-\text{H}$ -229 $\text{C}_6\text{H}_5-\text{CH}^{\oplus}-\text{CH}_3$ -220 $\text{C}_6\text{H}_5-\text{C}^{\oplus}(\text{CH}_3)_2$ -210	"X" resonance $\text{H}-\ddot{\text{O}}-\text{CH}_2^{\oplus}$ -248 $\text{H}_2\ddot{\text{N}}-\text{CH}_2^{\oplus}$ -218 $:\ddot{\text{O}}=\text{C}^{\oplus}-\text{CH}_3$ -230	other / misc. $\text{H}-\text{C}\equiv\text{C}^{\oplus}$ -386 (empty sp orbital) $\text{H}-\text{C}=\text{C}^{\oplus}-\text{H}$ -287 (empty 2p orbital) $\text{C}_6\text{H}_5-\text{C}^{\oplus}$ -300 (empty sp <sup>2</sup> orbital)

We will not propose these carbocations in solution in this book.

Some of these values are estimated from different sets of data.

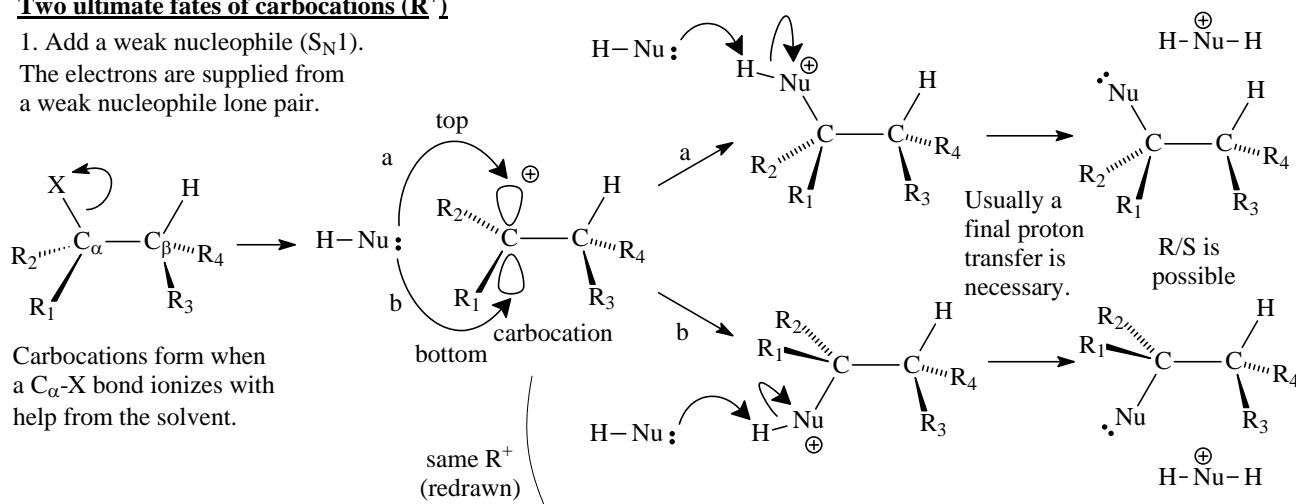
Gas Phase Ionization Energies for R-Cl Bonds (kcal/mole, 1 kcal = 4.184 kJoule)



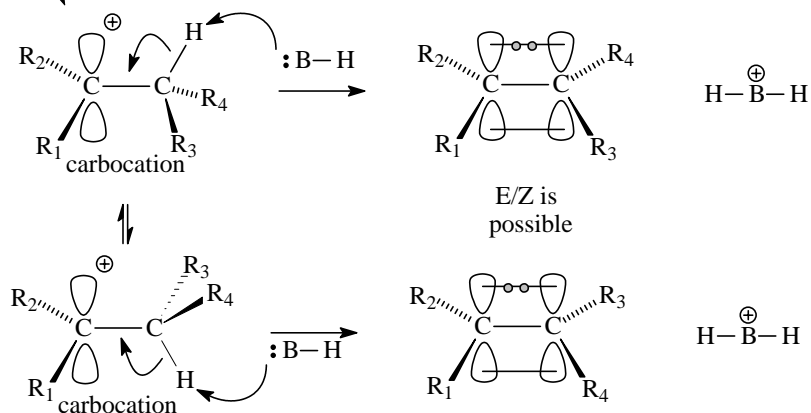


### Two ultimate fates of carbocations ( $R^+$ )

1. Add a weak nucleophile ( $S_N1$ ).  
The electrons are supplied from a weak nucleophile lone pair.

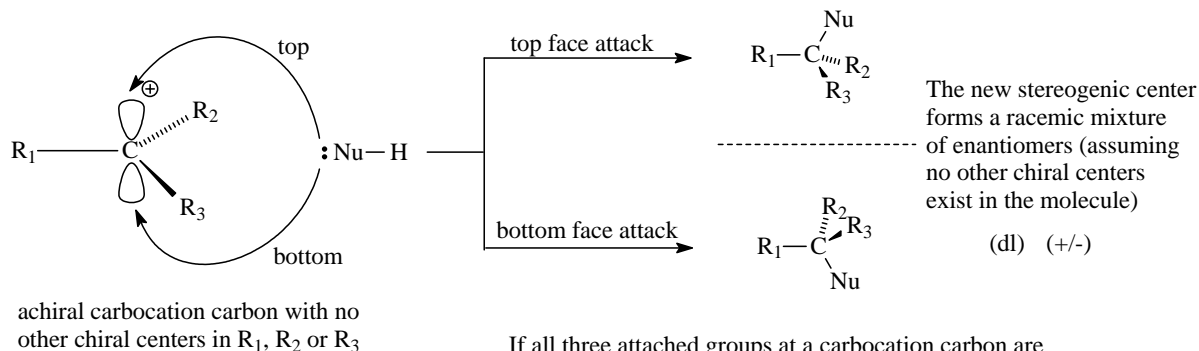
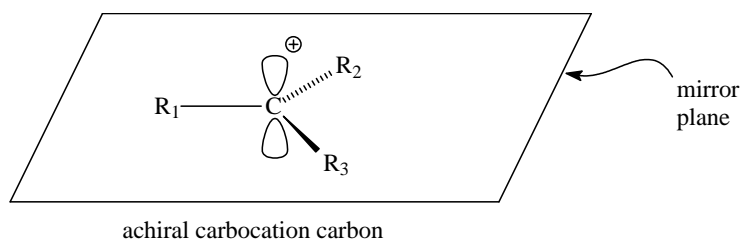


2. Lose an adjacent beta hydrogen atom to form pi bond (E1). The electrons are supplied from an adjacent  $C_\beta$ -H sigma bond

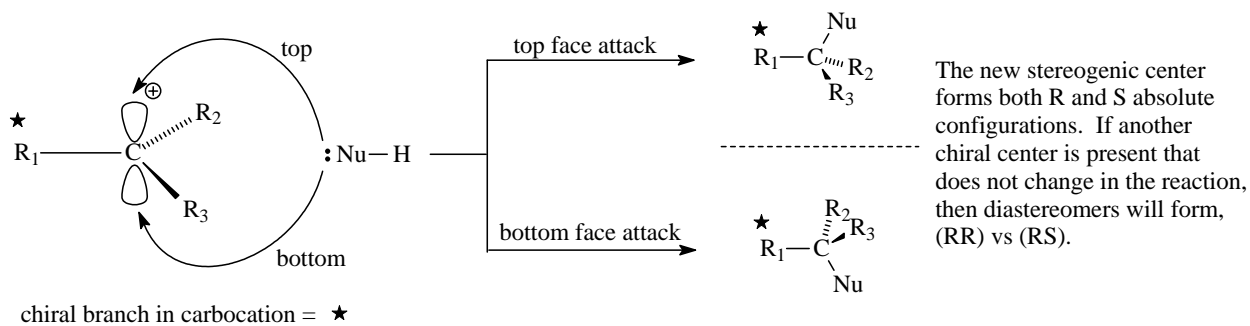


usually  $H-Nu:$  =  $H-B:$  = a protic solvent  $H-S:$  such as  $H_2O$ ,  $ROH$ ,  $RCO_2H$ .

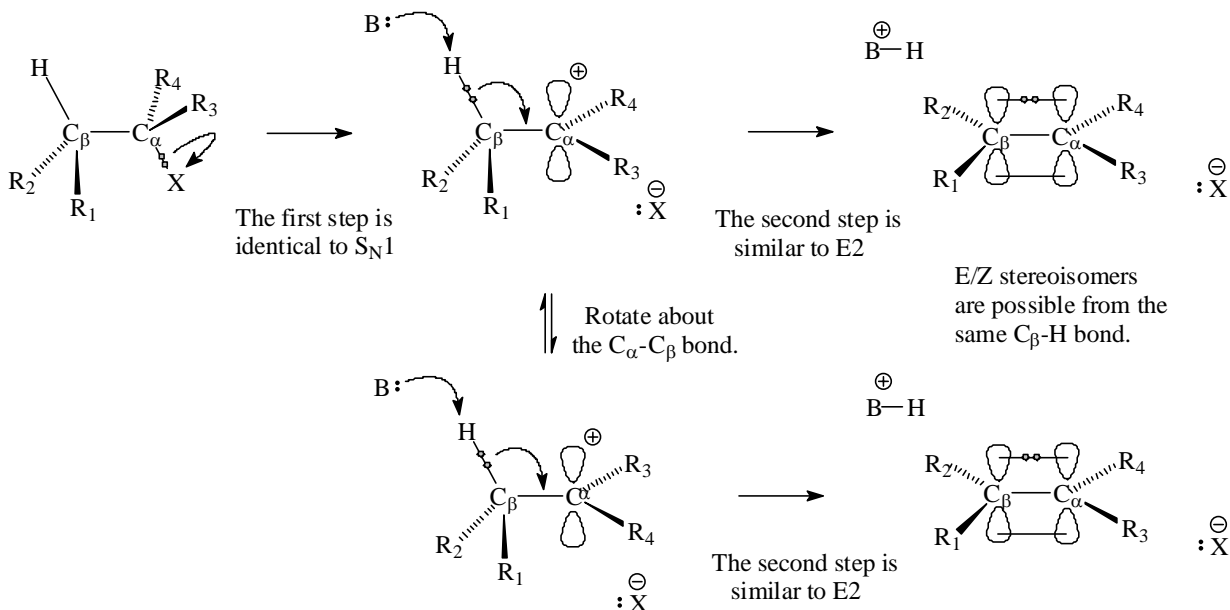
usually  $H-Nu-H^+$  =  $H-B-H^+$  = a protonated solvent molecule  $H-S-H^+$  such as  $H_3O^+$ ,  $ROH_2^+$ ,  $RC(OH)_2^+$

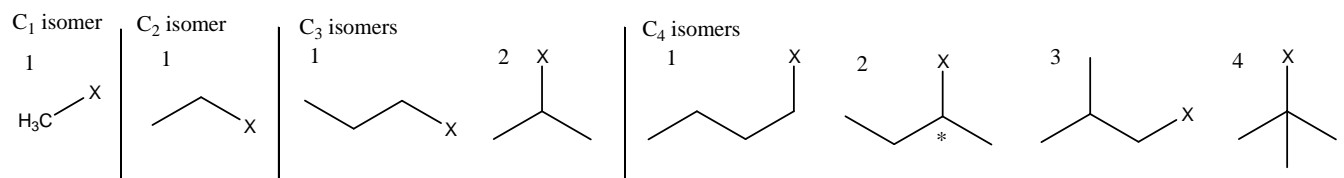
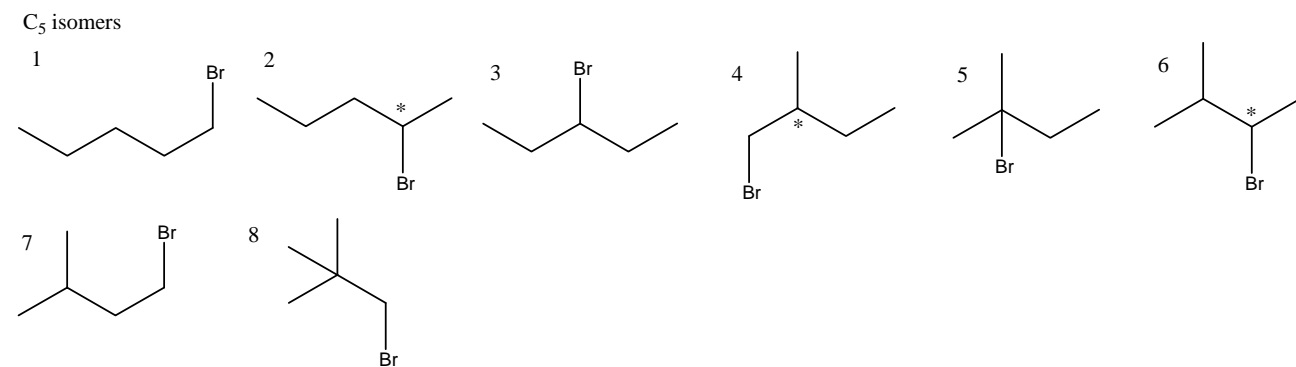
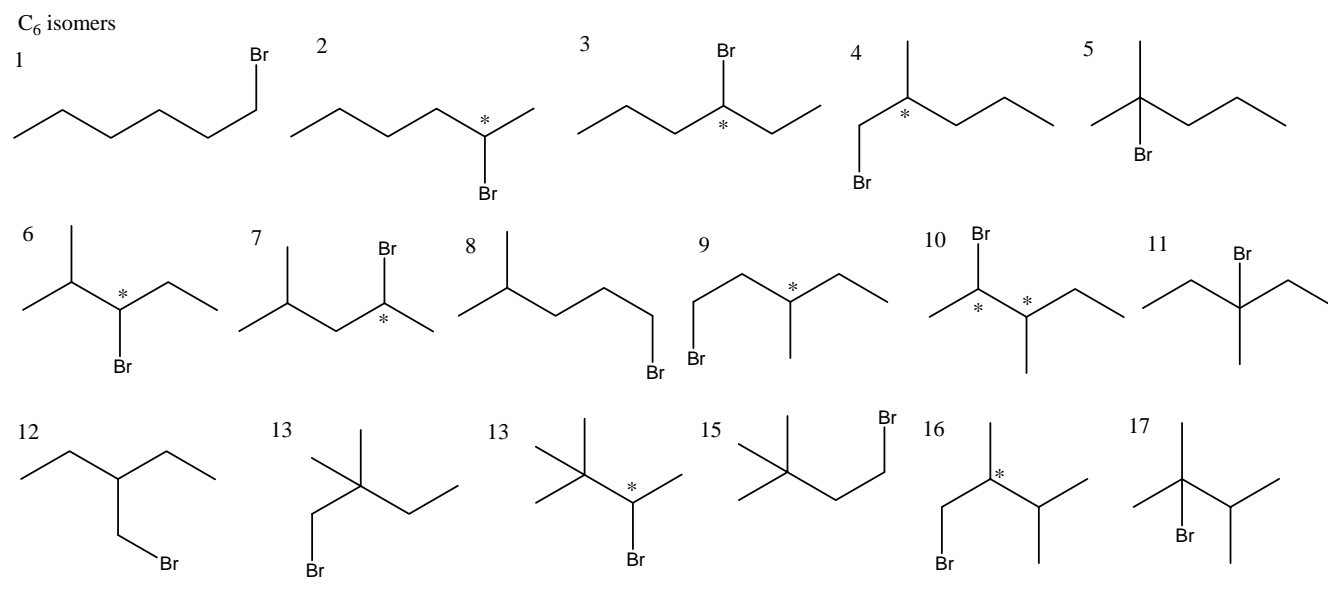


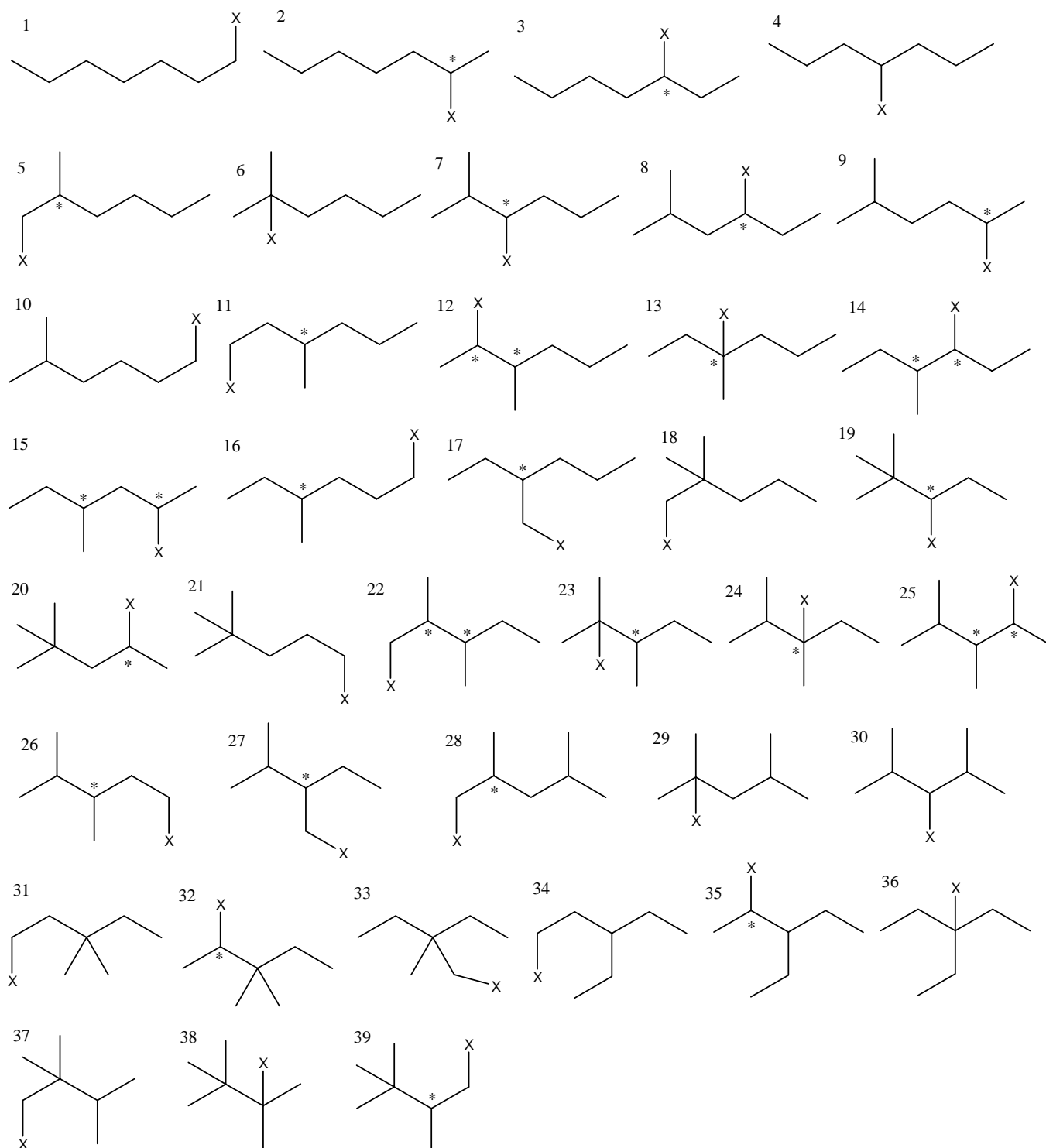
If all three attached groups at a carbocation carbon are different from one another and the attacking nucleophile, then a racemic mixture of enantiomers will form.



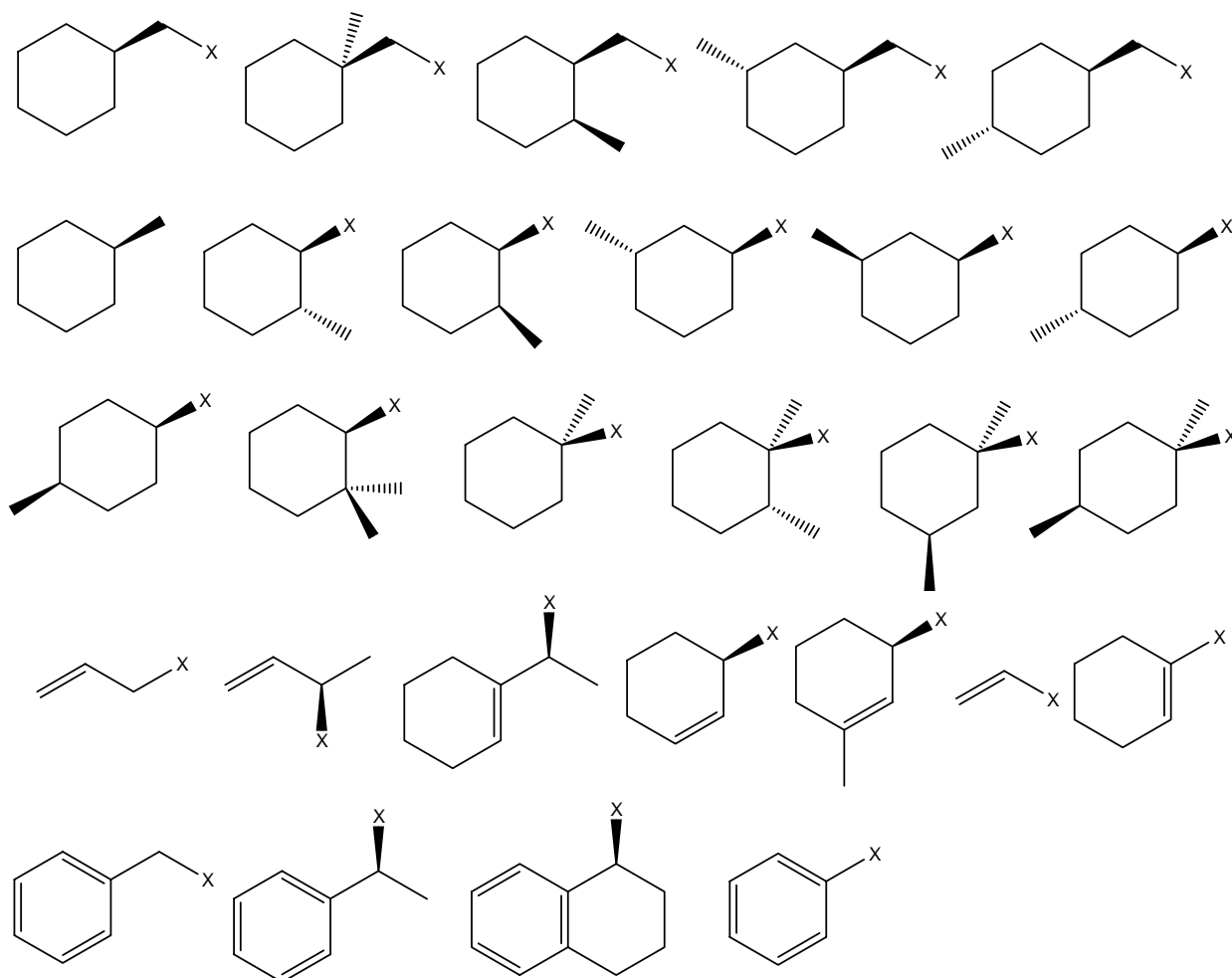
If one or more chiral centers were present in the carbocation, the top and bottom attack at the carbocation center would lead to diastereomers formed in unequal amounts.



**S<sub>N</sub> / E possibilities**C<sub>1</sub> – C<sub>4</sub> RX isomers (\* denotes a chiral center)C<sub>5</sub>H<sub>11</sub>X isomersC<sub>6</sub>H<sub>13</sub>X isomers

$C_7H_{15}X$  isomers $C_7$  isomers

Other patterns – cyclohexyl, allyl, benzyl, vinyl, phenyl

Common reaction conditions (for  $S_N2$ , E2,  $S_N1$ , E1)